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| <b>Date:</b> | <b>April 20, 2004</b>                   | <b>Fax:</b>   | <b>703-872-9306</b> |
| <b>To:</b>   | <b>U.S. Patent and Trademark Office</b> | <b>Phone:</b> | <b>703-305-8283</b> |

**Information Disclosure Statement**

|                    |   |
|--------------------|---|
| <b>From:</b>       | <b>Rachel V. Leiterman</b>              |
| <b>Serial No.:</b> | <b>10/785,620</b>                       |
| <b>Docket:</b>     | <b>LUM-03-01-03</b>                     |
| <b>Re:</b>         | <b>Information Disclosure Statement</b> |
| <b>Pages:</b>      | <b>3 (including cover sheet)</b>        |

**Message:**

Re: Applicant(s): Regina B. Mueller-Mach, Gerd O. Mueller, T. Juestel, W. Busselt, P. J. Schmidt, W. Mayr  
 Assignee: Lumileds Lighting U.S., LLC  
 Title: Phosphor Converted Light Emitting Device  
 Serial No.: 10/785,620  
 Filed: February 23, 2004 Group Art Unit: Not yet assigned  
 Docket No.: LUM-03-01-03

Dear Sir:

Transmitted herewith are the following document in the above-identified application:

- (1) This Fax Transmittal (1 page);
- (2) Information Disclosure Statement Under 37 C.F.R. §1.97(b) (1 page);
- (3) Form PTO/SB/08A citing 4 Reference (1 page);
- (4) Copies of the cited US Patent Documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived

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APR 20 2004

**OFFICIAL**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Regina B. Mueller-Mach, Gerd O. Mueller, T. Juestel, W. Busselt, P. J. Schmidt, W. Mayr

Assignee: Lumileds Lighting U.S., LLC

Title: Phosphor Converted Light Emitting Device

Serial No.: 10/785,620 Filing Date: February 23, 2004

Examiner: Not yet assigned Group Art Unit: Not yet assigned

Docket No.: LUM-03-01-03

San Jose, California  
April 20, 2004

Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(b)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO/SB/08A are called to the attention of the Examiner for the above patent application. Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

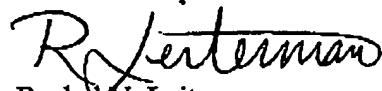
2. a representation that a search has been made; or

an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

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| Certification of Facsimile Transmission   |  |
| I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.<br><b>Rachel V. Leiterman</b> <u>4/20/04</u><br>Signature Date |  |

Respectfully submitted,

  
**Rachel V. Leiterman**  
 Attorney for Applicant(s)  
 Reg. No. 46,868

PTO/SB/08A (08-03)

Approved for use through 07/31/2008, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|--|---|----|---|--------------------------|---------------------|
| Substitute for form 1449/PTO   |   |    |   | <b>Complete if Known</b> |                     |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(Use as many sheets as necessary)</i> |   |    |   | Application Number       | 10/785,620          |
|  |   |    |   | Filing Date              | February 23, 2004   |
|  |   |    |   | First Named Inventor     | Regina Mueller-Mach |
|  |   |    |   | Art Unit                 | Not yet assigned    |
|  |   |    |   | Examiner Name            | Not yet assigned    |
| Sheet  | 1 | of | 1 | Attorney Docket Number   | LUM-03-01-03        |

Sheet 1 of 1

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

## **FOREIGN PATENT DOCUMENTS**

|                               |  |                            |  |
|-------------------------------|--|----------------------------|--|
| <b>Examiner<br/>Signature</b> |  | <b>Date<br/>Considered</b> |  |
|-------------------------------|--|----------------------------|--|

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. "Applicant's unique citation designation number (optional)." See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>2</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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